

Assisted Living Waiver Benefits Forum

June 21, 2016

Presenters

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History

- ▶ The ALW is a 1915(c) Home and Community Based Services (HCBS) waiver
- ▶ Initiated by the California Legislature - AB 499 (Aroner) Statutes of 2000
- ▶ Pilot program from 2006 - 2009 in three counties
- ▶ March 2009, CMS approves waiver for five additional years
- ▶ Effective March 1, 2014 five year waiver renewal

Who is served by the ALW?

- ▶ Medi-Cal eligible over the age of 21 with no share of cost
- ▶ Meet nursing facility level of care
- ▶ Choose to reside in an Assisted Living setting as an alternative to SNF, hospital, or institutional setting
- ▶ Ability to remain safe in an Assisted Living setting

Eligibility Criteria for Facilities

Basic Requirements

- ▶ Must meet licensure and certification requirements set forth by the Department of Social Services, Community Care Licensing (CCL)
- ▶ Must be in substantial compliance and good standing with licensing regulations
- ▶ Must have nursing staff, either on call or employed, in order to provide skilled nursing services as needed to waiver participants
- ▶ Adequate staff to ensure provision of care and supervision to meet client health and safety needs
- ▶ Required to provide private or semi-private bedrooms and private or semi-private bathrooms, shared by no more than two waiver participants
- ▶ Call systems*
- ▶ Lockable door and kitchenettes*

*These requirements are waived for 6 bed facilities

ALW Care Coordination Benefits

Role of the Care Coordination Agency (CCA)

Enrollment

- ▶ Assess potential participants for the waiver program
- ▶ Verify Medi-Cal eligibility
- ▶ Identify Durable Power of Attorney (DPOA), Advanced Healthcare Directive, or Conservator. Assist with obtaining if none
- ▶ In collaboration with participant and/or legal representative and family, complete an assessment and individualized service plan (ISP)
- ▶ Submit application to the state
- ▶ Coordinate move in conjunction with ALW facility
- ▶ Maintain constant communication with the participant and/or legal representative, family and ALW facility
- ▶ Share documentation with ALW facility

Role of the CCA (continued)

Post Enrollment

- ▶ Verify Medi-Cal eligibility monthly
- ▶ Identify, organize and coordinate services needed by participant
- ▶ Monitor service delivery
- ▶ Perform monthly face to face visits
- ▶ Conduct reassessment of waiver participants and update ISP of waiver every six months, or more frequently if indicated by a change in the condition
- ▶ Maintain consistent communication with facility
- ▶ Ensure all documentation related to the ALW is sent to the facility
- ▶ Provide ongoing care coordination for duration of time the participant is enrolled in the waiver

Role of the Facility

Enrollment

- ▶ Assess potential participant and determine if appropriate for placement
 - ▶ ALW facilities are not allowed to charge for this assessment
- ▶ Maintain consistent communication with CCA and participant and/or legal representative and family

Role of the Facility (continued)

Post Enrollment

- ▶ Ensure monthly visits are performed
- ▶ Maintain consistent communication with CCA
- ▶ Report incidents to CCL and the CCA
- ▶ Notify the CCA of any hospitalizations, reinstitutionalization, non-compliance, etc.
- ▶ Verify all participant documentation is current
- ▶ Assist with establishment of Durable Power of Attorney (DPOA) or Advanced Healthcare Directive

ALW Required Services

ALW Services

Required Services

- ▶ Development of a care plan that details the frequency and timing of assistance
- ▶ Participation in the development of ISP
- ▶ Provision and oversight of personal and supportive services
- ▶ Personal care and assistance with ADLs sufficient to meet both the scheduled and unscheduled needs of the residents
- ▶ Assistance with self-administration of medication
- ▶ Three meals per day plus snacks
- ▶ Housekeeping and laundry
- ▶ Transportation or arrangement of transportation
- ▶ Daily recreational activities
- ▶ Skilled nursing services as needed

Medi-Cal Payment Considered Payment in Full

- ▶ Medi-Cal payment is considered payment in full for ALW services
- ▶ Facilities may not bill a participant a monthly or per-item fee for items covered by Medi-Cal or required by CCL
- ▶ Specified on ALW Provider Agreement

Benefit of the ALW

Purpose

- ▶ Bridges the gap between independent living and nursing home care
- ▶ Combines a home-like setting with access to continuous personal support and services
- ▶ Provides an opportunity for individuals to transition out of nursing facilities
- ▶ Offers an alternative to nursing facility placement

ALW Goals

- ▶ Facilitate a safe and timely transition from a skilled nursing facility (SNF) into homelike community setting
- ▶ Prevent SNF admissions for members with an imminent need for nursing facility placement
- ▶ Maintain a one-to-one ratio of SNF transitions to community placements

The Future of ALW

Trends in Provider Base

- ▶ Greater need for Adult Residential Facilities
- ▶ Identified need for facilities specializing in traumatic brain injury (TBI)

HCBS Final Rule

- ▶ Private Rooms/Bathroom requirements
- ▶ Participant specific posted schedules
- ▶ Location based on acuity
- ▶ Delayed egress and secured perimeters
- ▶ Heightened Scrutiny

Managed Care vs. Fee-for-Service Model

- ▶ Managed care receives a set dollar amount per member per month
- ▶ Fee for service (FFS) is a payment model where services are unbundled and paid for separately after the service is performed
- ▶ The ALW is a mixture of the two and provides a tiered bundled rate as well as a fee scheduled flat rate
 - ▶ Per person per day for ALW services
 - ▶ Per person per month for Care Coordination services

Collaboration with Managed Care Plans

- ▶ Building/maintaining relationships with Medi-Cal Managed Care Plans and other Home and Community Based Services (HCBS) providers
- ▶ Continuous collaboration with Medi-Cal Managed Care Plans to improve coordination of care for existing Managed Care Plan members

Resources

- ▶ Visit our website
 - ▶ <http://www.dhcs.ca.gov/services/ltc/Pages/AssistedLivingWaiver.aspx>
- ▶ Billing Issues
 - ▶ Work with your CCA
 - ▶ Contact Xerox at 1-800-541-5555
- ▶ General Questions
 - ▶ Contact our waiver hotline at (916) 552-9322
- ▶ Complaints/Report of Participant Concerns/Issues
 - ▶ Contact Karli Holkko or Lindsay Jones directly
 - ▶ Karli.Holkko@dhcs.ca.gov; Lindsay.Jones@dhcs.ca.gov



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